

R14: National framework

Purpose of collecting these data:

The module aims at collecting information on the national legal and programmatic framework that underpins the national response to the drug problem. This includes the national drug strategies, systems for monitoring the drug situation, national coordinating systems on legal provisions to place substances under national control and other related matters. This information allows for an understanding of the legal and policy framework in which the country operates, and acts as metadata for the data collected in other modules. Given the slow nature of the changes that tend to occur in national systems, legal provisions and policy documents that are the main subject of this module, this information is to be collected at a lower frequency than other rotating modules.

The module includes qualitative information on how national systems relevant to address the drug problem work, including systems related to criminal justice, treatment, prevention, extradition, combating money laundering, access to internationally controlled medications, and monitoring systems.

Items	Type	Description	Response options	Definitions / Specific instructions	Disaggregation	Metadata	Justification
Overall legal framework	I	List of legal provisions the “measures of control” applicable to drugs that are under international control. Attach or provide link.	List and attachments / links	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	1961 (Article 18), 1971 (Article 16) and 1988 (Article 20) Drug Conventions
Legal framework to place under national control substances that are placed under international control	I	List of legal provisions that regulate the process to place substances under national control. Attach or provide link.	List and attachments / links	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	1961 (Article 18), 1971 (Article 16) and 1988 (Article 20) Drug Conventions
		Type of legislation used to make the substances under international control effectively under national control	Annexes to law, Ministerial decrees, Automatic national scheduling, Other (specify)				
		Description of process necessary to make the substances under international control effectively under national control (steps in the process, range of time process can take).	Free text				
Control mechanism for non-controlled substances	I	List of primary/secondary legal provisions that regulate the placing of substances that are not under international control under national control. Attach or provide link.	List and attachments / links	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	1961 (Article 18), 1971 (Article 16) and 1988 (Article 20) Drug Conventions

		Type of legislation used for scheduling of substances	<ul style="list-style-type: none"> - Individual list - Analogue control - Generic legislation - Consumer protection regulations - Customs act - Medicine regulations / poison acts - Specific legislation / regulation - Temporary bans - Rapid procedure - Other (specify) 				
		Institutions involved in the decisions of the placing of substances that are not under international control under national control.	Free text				
		Description of process necessary to place substances that are not under international control under national control. (steps in the process, risk assessment requirement, range of time process can take)	Free text				
		Availability of mechanisms for monitoring substances that are not under international control (monitoring Observatories, Early Warning systems). Please describe.	Free text				
Unlawful activities involving controlled substances	I	Type of offence	Criminal, Administrative	Glossary	<ul style="list-style-type: none"> • By drug related act: cultivation, production/manufacture, trafficking, personal use, possession for personal use 	<i>Not applicable</i>	UNGASS: 4.1
		Penalties established by law: range of sentence, range of fine, other administrative penalties (specify), alternatives to conviction or punishment (specify).	Free text				
		Definition of personal use and trafficking offences: threshold amount (specify by drug), other	Threshold amount (specify by drug), Other (specify)				
		If applicable, definition of cultivation for personal use: threshold amount (specify by crop), other	Threshold amount (specify by crop), Other (specify)				

Alternatives to conviction or punishment	I	Availability of alternatives to conviction or punishment, by list of alternatives	<ul style="list-style-type: none"> - "Drug Courts" - Treatment - Caution/Warning - Community service - Training/Education - Financial penalty - Furloughs/Halfway houses - Home arrest/Monitoring - Other (specify) 	Glossary	<ul style="list-style-type: none"> • By drug related act: cultivation, production/manufacture, trafficking, personal use, possession for personal use 	<i>Not applicable</i>	UNGASS: 4.j, 4.k, PoA: 16.a
		List of legal provisions that establish alternatives to conviction or punishment. Attach or provide link.	List and attachments / links				
		Stage in the criminal system process at which these alternatives can be implemented	Formal contact with police or criminal justice system, prosecution, conviction				
		Description of what occurs in practice: are alternatives to punishment and incarceration implemented, what percentage of cases (by drug related act), at what stage (ranking of stage).	Free text				
National drug strategy	I	National drug-related strategies in place by type. Attach or provide link.	<ul style="list-style-type: none"> - General drug strategy - Separate national drug supply strategy - Separate national drug demand strategy - National strategy on organized crime (with component on drugs) - Other (specify) 	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 1.m PoA: 4.c, 28.a
		Reference time period of strategy/ies	Free text				
		Level of funding for strategy/ies:	Fully funded, Partially funded (indicate percentage), Unfunded				
		Central coordinating entity	Free text				

National alternative development strategy	I	National strategy on alternative development in place by type. Attach or provide link.	Alternative development strategy, Alternative development support strategy, other (specify)	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 7.a, 7.c, 7.d, 7.e PoA: 45.a, 45.c, 45.d, 49.b
		Geographical coverage of strategy	on national territory, abroad, both				
		Reference time period	Free text				
		Thematic coverage of the strategy	<ul style="list-style-type: none"> - Introduction of alternative crops - support of farmers or producers associations - food security - reduction of environmental impact - training / education - increase private sector investment - investment on infrastructure - preventive AD - other (specify) 				
		Conditions for the selection of the area where interventions will be implemented	Free text				
		Monitoring mechanism for evaluating the results and impact of strategy. Describe.	Free text				
		Entities involved in the implementation of the strategy and central coordinating entity	Free text				
		Description of how the implementation of the strategy is managed (e.g., locally, centralized).	Free text				
		Level of funding	Fully funded, Partially funded (indicate percentage), Unfunded				
National system on extradition	I	Legal provisions that regulate extradition of drug related offenders. Attach or provide link.	Free text	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 3.i PoA: 22.i, 54.a, 54.c, 54.e, 54.f

		Central coordinating entity responsible for managing extraditions.	Free text				
		Main challenges for implementation of extradition: time, resources, bureaucracy, counterparts, etc. Describe.	Free text				
		Main legal conditions that regulate requests and grants of extradition	Free text				
National system on asset recovery and combating money laundering	I	Year in which Money Laundering was first criminalized	Free text	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 3.f, 3.g, 3.k, 3.m, 3.q, 3.s PoA: 51.a, 51.b, 51.c
		Latest legal provision adopted on Money Laundering offences. Attach or provide link.	List and attachments / links				
		Penalties for Money Laundering offences: range of sentence according to current legal provisions.	Free text				
		Existence of a Financial Investigation Unit (FIU). Year established.	Free text				
		Institution where the FIU is located.	Law Enforcement, Administrative – e.g., Central Bank, Judicial or prosecutorial, Hybrid, Other (specify)				
		Membership of FIU to the Egmont Group.	Yes, no, unknown				
		Number of full-time regular staff working in the FIU.	Statistical data				
		Roles and responsibilities assigned to the FIU.	Investigating ML or FT, lead agency or national coordinator of AML/CFT implementation, lead agency or national coordinator of AML/CFT legislative drafting, lead jurisdiction delegation at FATF or FSRB, AML/CFT supervision of AML/CFT regulated firms, Other (specify)				

		Description of joint operations undertaken by the FIU other national with financial institutions in last two years.	Free text				
		Description of joint operations other countries in last two years. Identification of the main 3 countries.	Free text				
		Legal provisions on seizure and recovery of assets.	List and attachments / links				
		Description of circumstances under which assets can be seized and recovered according to current legal provisions.	Free text				
		Whether these conditions are implemented in reality. If not, please provide the main reasons.	Free text				
National system on prevention of drug-related infectious diseases	I	Formal policies adopted on prevention of infectious diseases. Attach or provide link.	List and attachments / links	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 1.o PoA: 4.i
		Coverage and budgeting of the policies (including comments on specified steps to secure long-term sufficient levels of funding).	Free text				
		OST policy (as a prevention to IDUs)	Free text				
		Inclusion of legal provision or policy formulation (specify) relating to needle and syringe programmes in the national legislation (e.g. legislation or policy to support the provision of: -NSP services in closed settings; - to support distribution of injecting equipment through NSPs; - national guidelines outlining training and supervision requirements of NSP workforce.	Free text				

		Limitations to the provision of injecting equipment included in the legislation or policy (e.g. only upon return of old equipment or certain quotas per user)	Free text					
		Central coordinating entity and other institutions involved in the implementation of programmes to prevent drug-related infectious diseases.	Free text					
National system on prevention of drug use	I	Availability of a policy on prevention of drug use. Please provide.	Yes, no, unknown Attachment / link	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 1.b, 1.c, 1.d PoA: 4.a, 4.f, 14.b	
		Coverage and budgeting of the policy (including comments on specified steps to secure long-term sufficient levels of funding).	Free text					
		Availability of a monitoring system on implementation of prevention policies. Provide details.	Yes, no, unknown Free text					
		Policies in place targeted to different groups of the population (selective) as well as universal ones: different age groups, risk of the targeted group, settings (family, workplace, schools, community), focus of action (environmental, developmental, information). Provide details.	Yes, no, unknown Free text					- Type of service: Universal prevention, Selective and indicated (to be specified) - Setting: Family, Schools, Workplace, Community - Group: children, elderly, women, other (specify)
		Policies in place on the accreditation requirement of staff and institutions involved with prevention. Provide details.	Yes, no, unknown Free text					
		Central coordinating entity and other institutions involved in the implementation of drug use prevention policy (including NGOs if they are involved in treatment delivery).	Free text					

National system on treatment of drug users	I	Policy/strategy on provision of treatment services in place at the national level. Attach or provide link.	Attachments / Links	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 1.j, 1.k, 1.p PoA: 4.h, 10.a, 14.a
		Existence of specific policy / strategy for specific groups of the population. Attach or provide link.	Yes, no unknown Attachments / Links		Types of treatment: - Persons with disabilities - People living in rural areas - Indigenous people - Migrants/internally displaced persons - Homeless people - Sex workers - Other (including ethnic and religious minorities, pregnant women and people in consensual same-sex relationships)		
		Coverage and affordability of treatment services available in the country.	All treatment covered by public health system, All treatment covered by private insurance, mixed coverage				

	<p>Treatment services covered in primary or secondary legal provisions. Provide link / attachment.</p>	<ul style="list-style-type: none"> - Pharmacological <ul style="list-style-type: none"> - Detoxification - Opioid antagonist maintenance - Psychosocial <ul style="list-style-type: none"> - Counselling - cognitive behavioural therapy - social support - Rehabilitation and aftercare <ul style="list-style-type: none"> - interventions based on scientific evidence and focused on the process of rehabilitation, - recovery and social reintegration 				
	<p>Availability of a mechanism to map available services. Provide details.</p>	<p>Yes, no, unknown Free text</p>				
	<p>SOP for referral for patients into treatment from diverse sources. Whether treatment is offered on a voluntary or compulsory basis (per path of referral).</p>	<p>Free text</p>				
	<p>Diversification of services and inclusion of specific groups of the population in the legislation. Provide links or attachments.</p>	<p>Persons with disabilities, people living in rural areas, indigenous people, migrants/internally displaced persons, homeless people, sex workers, others (specify) Attachments / links</p>				

		Central coordinating entity and other institutions involved in the implementation and management of treatment services.	Free text				
Mortality and non-fatal overdoses	I	Monitoring systems in place on drug-related deaths and non-fatal overdoses, including centralization of the data, and system of recording the data. Provide details.	Free text	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 1.m PoA: 4.i
		Existence of SOP treatment protocol for non-fatal overdoses. Provide details.	Free text				
		Standard operation procedures on the administration of antagonists and accessibility to antagonists	Free text				
		Diversification of policies on acute intoxication for different sub-groups with higher risk	Free text				
National system on access to internationally controlled medication	I	Legal provisions or policy documents in place that regulate access to pain medication. Please provide.	List and attachments / link	Estimates and components of supply management system for controlled substances based on: <i>Guide on Estimating Requirements for Substances under International Control, WHO / INCB</i>	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 2.a, 2.b PoA: 10.b
		Existence of a national supply management system for internationally controlled substances. Components of the system. Provide details on each component. Estimates available in quantification component.	<p><i>Existence:</i> Yes, no, unknown</p> <p><i>Components:</i> selection, quantification, procurement, storage and distribution, use</p> <p><i>Estimates:</i></p> <ul style="list-style-type: none"> - drugs to be consumed - stocks of drugs by 31 December - totals to be utilized for manufacture of other drugs - total synthetic drugs to be manufactured - total drugs necessary for addition to special stocks - quantity of opium to be produced. <p>Free text</p>				

		Criteria and conditions that regulate access and use of pain medication (conditions for access, carriers of medications, etc). Please describe.	Free text				
		Mechanisms available to ensure access to WHO's list of essential medicines. Please describe.	Free text				
Medical and scientific use of controlled substances	I	Legal provisions for medical and scientific use of controlled substances, including cannabis and other THC preparations. Attach or provide link.	List and attachments / link	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	UNGASS: 2.b, 2.d, 2.f PoA: 10.b
		Whether a license is needed for production of controlled substances for medical and scientific purposes. Description of procedure to obtain license.	Yes, no, unknown Free text				
		Restrictions for the use of controlled substances for medical and scientific purposes. Describe.	Presentation of prescription, Record keeping requirements, Limited sites of availability (e.g., hospitals), Other (specify) Free text				
National monitoring of the drug situation	I	National institutions responsible for monitoring the drug situation	Observatories, Specialized Board (specify members), Other (specify)	Glossary	<i>Not applicable</i>	<i>Not applicable</i>	Introductory questions to the monitoring on drug use and drug supply information.
		Institutions involved in collecting data on: on supply, on demand	Free text				
Monitoring of drug use	I	Institutions in charge of monitoring drug use by type of data.	Free text	Glossary	Type of data: - Prevalence of use - Treatment - Mortality - PWID - Problem drug use - Infectious diseases - Other (specify)	<i>Not applicable</i>	PoA: 20.a, 20.e

		Type of national data collection to estimate prevalence of drug use and estimation systems	<ul style="list-style-type: none"> - Household Surveys on drug use - University/School Surveys - Indirect estimation based on administrative data - Prison drug use surveys - PWID - other data and estimation methods (specify) 				
		Periodicity, last year of collection, and institutions responsible for list above, by type of national collection estimation system	<ul style="list-style-type: none"> - Periodicity of collection: Routine, Ad-hoc, None - Free text 		<i>Not applicable</i>		
Monitoring of drug supply	I	<p>Seizures data collection:</p> <ul style="list-style-type: none"> - Availability of repository of seizures cases by level of repository - Type of data / variables kept in repositories of seizure data - Institutions responsible for repositories of seizure data - Institution available for coordination of repositories of seizure data. - Description of seizure data sharing procedure. 	Free text	Glossary	<p>Level of repositories:</p> <ul style="list-style-type: none"> - Central / National - Regional - Municipal or similar - Other level (specify) 	<i>Not applicable</i>	PoA: 24.a
	I	<p>Price data collection:</p> <ul style="list-style-type: none"> - Availability of system to monitor price of drugs, by periodicity of system - Sources of information - Level of coordination of data collection - Institution(s) responsible for price data collection 	<p>Periodicity:</p> <ul style="list-style-type: none"> - Routine - Ad-hoc - None <p>Sources:</p> <ul style="list-style-type: none"> - intelligence data collection - intelligence information (not based on data) - other (specify) <p>Level of coordination:</p> <ul style="list-style-type: none"> - National - Regional/state - Other level 				

	I	<p>Purity data collection:</p> <ul style="list-style-type: none"> - Availability of system to monitor purity of drugs, by periodicity of system - Sources of information - Location of available laboratories - Services provided by laboratories 	<p>Periodicity:</p> <ul style="list-style-type: none"> - Routine - Ad-hoc - None <p>Sources:</p> <ul style="list-style-type: none"> - intelligence data collection - intelligence information (not based on data) - other (specify) <p>Location of laboratories:</p> <ul style="list-style-type: none"> - in the country - in other countries for all samples - in other countries for some samples - no availability <p>Services provided by laboratories:</p> <ul style="list-style-type: none"> - Identification - Quantification - profiling for origin or linking - precursors analysis - other (specify) 				
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